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U.S. PTO
012204

Please type a plus sign (+) inside this box →

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.	PC883.00/4906-017
First Inventor or Application Identifier	Roy Lim
Title	Expanding Int rbody Implant and Articulating Ins rt r and Method
Express Mail Label No.	EV 368751672 US

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

- 1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2. Specification [Total Pages 32]
(preferred arrangement set forth below)
 - Descriptive title to the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3. Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
- 4. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- 5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. Assignment Papers (cover sheet & document(s))
- 9. 37 C.F.R. § 3.73(b) Statement Power of Attorney (when there is an assignee)
- 10. English Translation Document (if applicable)
- 11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 12. Preliminary Amendment
- 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14. *Small Entity Statement filed in prior application, Status still proper and desired
- 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. Other: Express Mail Certification

***NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:
 Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____
 Prior application information: Examiner: Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

Customer Number **24112** or Correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			
Name (Print/Type)	David D. Kalish	Registration No. (Attorney/Agent)	42,706		
Signature	<i>DDK</i>	Date	January 22, 2004		

22264 U.S. PTO
10/7631-15
012204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Roy Lim)
)
Serial No.:)
)
Filed: January 22, 2004)
)
Title: **EXPANDING INTERBODY IMPLANT AND**)
ARTICULATING INSERTER AND METHOD)
)
Attorney's Docket No: PC883.00/4906-017)

**EXPRESS MAIL CERTIFICATION
LABEL NO.: EV 368751672 US**

Raleigh, North Carolina
January 22, 2004

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

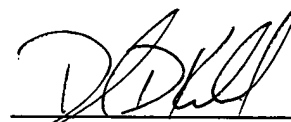
EXPRESS MAIL CERTIFICATE LABEL NO.: EV 368751672 US
DATE MAILED: January 22, 2004

I hereby certify that the enclosed US Utility Patent Application Transmittal Form, Fee Transmittal Form (2 copies), specification and claims, drawings (14 sheets), Declaration and Power of Attorney, Assignment and Recordation Sheet, and our check number 11053 in the amount of \$2236 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Respectfully submitted,

COATS & BENNETT, P.L.L.C.

By:



David D. Kalish
Registration No. 42,706

Telephone: (919) 854-1844

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FEE TRANSMITTAL		<i>Complete if Known</i>	
Patent fees are subject to annual revision on October 1 These are the fees effective December 29, 1999. Small Entity payments <u>must</u> be supported by a small entity statement. otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Application Number: TBA Filing Date: TBA First Named Inventor: Roy Lim Examiner Name: TBA Group Art Unit: TBA Attorney Docket No.: PC883.00/4906-017	
TOTAL AMOUNT OF PAYMENT	(\$ 2236.00)		

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commission is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 18-1167</p> <p>Deposit Account Name: Coats & Bennett, P.L.L.C.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR §1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>770</td><td>201</td><td>345</td><td>Utility filing fee</td><td>770.00</td></tr> <tr><td>106</td><td>310</td><td>206</td><td>155</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>480</td><td>207</td><td>240</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>760</td><td>208</td><td>380</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1)</td><td>(\$ 770.00)</td></tr> </tbody> </table> <p>2. 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SUBMITTED BY		<i>Complete (if applicable)</i>	
Typed or Printed Name	David D. Kalish	Reg. Number	42,706
Signature		Date	January 22, 2004
		Deposit Account User ID	18-1167

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.